

Clarksons Platou Securities, Inc. (Formerly RS Platou Markets, Inc.)
Statement of Financial Condition

December 31, 2015

Assets

Cash	\$ 6,171,052
Deposit with clearing broker	100,000
Receivable from clearing broker	51,426
Fixed assets, net of accumulated depreciation and amortization of \$173,795	90,916
Intercompany receivable	1,154,484
Prepaid and refundable income taxes	357,583
Deferred tax assets, net of valuation allowance of \$882,162	—
Other assets	<u>121,727</u>
Total assets	<u>\$ 8,047,188</u>

Liabilities and stockholder's equity

Liabilities:

Accounts payable, accrued expenses, and other liabilities	\$ 2,488,247
Intercompany payable	<u>31,483</u>
Total liabilities	<u>2,519,730</u>

Stockholder's equity:

Common stock; \$0.001 par value, 10,000 shares authorized, 3,750 shares issued and outstanding 4	
Preferred stock; \$0.001 par value, 1,000 shares authorized	
none issued and outstanding	—
Additional paid-in capital	5,766,857
Retained earnings (deficit)	<u>(239,403)</u>
Total stockholder's equity	<u>5,527,458</u>
Total liabilities and stockholder's equity	<u>\$ 8,047,188</u>

The accompanying notes are an integral part of the statement of financial condition.